सामूहिक प्रोत्साहन योजना, २००७
टेक्नॉलॉजी अपग्रेडेशन, क्वॉलिटी
सर्टीफिकेशन आणि पेटंट रजिस्ट्रेशनसाठी
द्यावयाच्या प्रोत्साहनाकरिता कार्यनियमावली.

महाराष्ट्र शासन
उद्योग, ऊर्जा व कामगार विभाग,
शासन निर्णय क्र.साप्रोयो-२११०/प्र.क्र.८८/उद्योग-८,
मंत्रालय, मुंबई-४०० ०३२.
दिनांक:- ३/६/२०१०.

वाचा:- १) शासन निर्णय पीएसआय-१७०७/प्र.क्र.५०/उद्योग-८, दि.३०/३/२००७.
२) राज्य स्तरीय सल्लागार समितीच्या दि.२६/२/२०१० रोजी झालेल्या बैठकीचे इतिवृत्त.

शासन निर्णयः-

सामूहिक प्रोत्सहन योजना, २००७ मधील परिच्छेद ७ नुसार सूक्ष्म, लघु व मध्यम उद्योग घटकांच्या बळकटीकरणासाठी स्पर्धात्मक गुणवत्ता वाढविणे, संशोधन आणि विकास व तंत्रज्ञान वृध्दी याकरीता खालील प्रोत्साहने देय करण्यात आली आहेत:-

१) तंत्रज्ञान वृध्दीकरीता भांडवली यंत्रसामुग्री वर केलेल्या गुंतवणूकीच्या ५ टक्के, रु.२५ लाखाच्या मर्यादेत.
२) गुणवत्ता प्रमाणपत्रासाठी केलेल्या खर्चाच्या ५० टक्के, रु.१ लाखाच्या मर्यादेत.
३) क्लिनर प्रोडक्शन (प्रदुषण मुक्त)उपाययोजनेकरीता केलेल्या खर्चाच्या २५ टक्के, ५ लाखाच्या मर्यादेत.
४) पेटंट रजिस्ट्रेशनकरिता केलेल्या खर्चाच्या ५० टक्के, ५ लाखाच्या मर्यादेत.

२. वरील प्रोत्साहने देण्यासाठी उद्योग घटकांची पात्रता निश्चित करण्याचे व प्रोत्साहने मंजूर करण्याचे अधिकार प्रधान सचिव (उद्योग) यांच्या अध्यक्षतेखालील राज्यस्तरीय समितीस देण्यात आले आहेत. राज्य स्तरीय समितीच्या दि.२६/२/२०१० रोजी झालेल्या बैठकीत तंत्रज्ञान वृध्दी, गुणवत्ता प्रमाणपत्र आणि पेटंट रजिस्ट्रेशन याबाबत प्रोत्साहने मंजूर करण्याकरिता उद्योग घटकांच्या पात्रतेचे निकष निश्चित करण्यासाठी व प्रोत्साहने मंजूर करण्याकरिता सोबत जोडलेल्या परिशिष्ठ १ ते ३ येथील कार्यनियमावलीस मान्यता दिली आहे. राज्य स्तरीय सल्लागार समितीने मान्यता दिलेल्या या कार्यनियमावलीस शासन मान्यता देण्यात येत आहे.

३. हा शासन निर्णय शासनाच्या www.maharashtra.gov.in या संकेत स्थळावर उपलब्ध असून त्याचा संगणक संकेतांक क्र.२०१००६०३२१२३३४००१ असा आहे.

महाराष्ट्राचे राज्यपाल यांच्या आदेशानुसार व नावाने,

(दा.अं.कुलकर्णी)
शासनाचे अवर सचिव

सहपत्र:- परिशिष्ठ १ ते ३.
प्रत:-

विकास आयुक्त (उद्योग), उद्योग संचालनालय, मुंबई,
वित्त विभाग, मंत्रालय, मुंबई,
विक्रीकर आयुक्त, विक्रीकर भवन, माझगांव, मुंबई.

ANNEXURE – I

Package Scheme of Incentives, 2007
Sanction and Disbursement of Incentives for Technology Up - gradation

The State Government has declared the Industrial, Investment, Infrastructure Policy 2006 to ensure sustained industrial growth, further improve the conducive industrial climate in the State, provide a global competitive edge to the State's industry, and promote employment and balanced regional development. As envisaged in the Policy, the Government of Maharashtra subsequently announced the Package Scheme of Incentives (PSI) 2007 vide Government Resolution No. PSI-1707/(CR-50)/Ind-8, dated 30th March 2007. The Government Resolution outlines the eligibility criteria, quantum of incentives and monitoring mechanism for administering the Scheme.

The concept of incentives for strengthening of Micro, Small and Medium Manufacturing Enterprises has been incorporated in the PSI-2007 and the quantum of incentives outlined there in (para 5.7 of G.R. dated 30th March 2007).

The procedure for sanction and disbursement of incentives for strengthening of Micro, Small and Medium Manufacturing Enterprises, is proposed as under;

1 Definition of Technology Up-gradation:

Technology up gradation would ordinarily mean induction of state-of-the-art or near state-of-the-art technology. It would also mean a significant step up from the present technology level to a substantially higher one involving improved productivity, and/or improvement in the quality of products and/or improved environmental conditions including work environment for the unit. It would also include installation of improved packaging techniques as well as anti-pollution measures and energy conservation machinery. Further, the units in need of introducing facilities for in-house testing and on-line quality control would qualify for assistance, as the same is a case of technology up-gradation.

Thus, technology up gradation should result in at least any one of the following; -

- Reduction in manufacturing cycle time per unit
- Increase in quality of product
- Increase in productivity
- Lower consumption of energy
- Lower costs of production
- Lower wastages
- Improved compliances with environmental requirements

1. TYPE OF UNITS COVERED UNDER THE SCHEME:

Unit holding Eligibility Certificate under PSI-2007 and to which loan / *assistance* is sanctioned under the Credit Link Capital Subsidy Scheme (CLCSS) of MSME *or Technology Up-gradation Fund Scheme for Textile & Jute Industries (TUFS) or Technology Mission on Cotton (TMC) Scheme of Ministry of Textile, Govt. of India* during the period 1.4.2007 to 31.3.2011 shall be considered eligible for receipt of technology up-gradation incentive.

2. GENERAL PROVISIONS:

2.1 Application for grant of Incentive for Technology Up gradation -

(1) Valid application for incentives under technology up gradation shall be filed with the concerned implementing agency by an Eligible Unit only after it has obtained Eligibility Certificate

(2) Valid application shall comprise of the following,

(i) The application in format as given in Form I

(ii) Sanction letter under CLCSS / *TUFS / TMC*.

(iii) Appraisal of project cost under CLCSS / *TUFS / TMC*.

(iv) Certificate from Chartered Accountant in Form II

The Implementing Agency may, as per need, call for any other information and/or documents as may be deemed necessary.

(3) Valid application shall be filed with the Implementing Agency after issue of Eligibility Certificate, and within 6 months from expiry of the period of Eligibility Certificate as defined under PSI 2007. Failure to file valid application within the prescribed period shall render the application invalid.

2.2 Sanctioning and disbursement agency -

(1) The implementing agency shall be the sanctioning and disbursement agency.

(2) Upon receipt of valid application, the Implementing Agency shall ordinarily dispose off the application within 30 days. (The format for the sanction of incentive shall be as per format at Form III)

3. CAPITAL AMOUNT OF SUBSIDY:

(i) The subsidy support would be as specified under Para 5.7 of PSI 2007 and will be based on the project cost component of Technology Up-gradation appraised by the financial institution for the purpose of sanctioning loan under CLCSS / *TUFS / TMC*. The quantum shall be calculated as per Para 5.7 of PSI 2007, based on eligible capital investment actually incurred, as per para 4.1(2). In the event of revision in CLCSS / *TUFS / TMC*, the eligibility criteria will be reviewed by Directorate of Industries.

4. PROCEDURE FOR DISBURSEMENT OF SUBSIDY:

The cases approved / sanctioned by the Implementing agency will be disbursed as per the availability of funds. The disbursement of sanctioned amount shall be made chronologically as per funds made available by the Government. The disbursement will be done through electronic transfer of funds.

5. MONITORING AND REVIEW:

Monitoring of the production activities of the eligible unit will be done as per the provisions of para 6.1 and 6.2 of the Government Resolution no. PSI-1707/(CR-50)/ IND - 8 dated 30 March 2007 of Package Scheme of Incentives 2007.

FORM – I

Application form for Incentives under Para 5.7 - Technology Up-gradation under Package Scheme of Incentives - 2007

<table>
<tr><td>1</td><td>Application Number (For Office Use)</td><td colspan="3"></td></tr>
<tr><td>2</td><td>Date of Receipt (For Office Use)</td><td colspan="3"></td></tr>
<tr><td>3</td><td>Name of the Applicant Unit</td><td colspan="3"></td></tr>
<tr><td>4</td><td>Factory address of the unit</td><td colspan="3"></td></tr>
<tr><td>5</td><td>EM Part II No & Date</td><td colspan="3"></td></tr>
<tr><td>6</td><td>EC NO (PSI-2007) & Date</td><td colspan="3"></td></tr>
<tr><td>7</td><td>Date of Commencement of commercial production</td><td colspan="3">DD/MM/YYYY</td></tr>
<tr><td>8</td><td>Products manufactured</td><td colspan="3">1)
2)
3)</td></tr>
<tr><td>9</td><td>Constitution
a. Authority of Certification :
b. Letter /Ack. No. of Incorporation :
c. Date of Establishment :</td><td colspan="3"></td></tr>
<tr><td>10</td><td>Name & Address of Prop./Partners/Directors
a. Name :
b. Residential Address – 1} :
Address – 2} :
Address – 3} :
c. Telephone No.& E-mail :</td><td colspan="3"></td></tr>
<tr><td rowspan="6">11</td><td rowspan="6">Capital Cost of the Project (Rs. in Lacs)</td><td></td><td>As per EC</td><td>Upgrading Cost</td></tr>
<tr><td>Land</td><td></td><td>NIL</td></tr>
<tr><td>Building</td><td></td><td>NIL</td></tr>
<tr><td>Plant & M/c.</td><td></td><td></td></tr>
<tr><td>Other Assets</td><td></td><td></td></tr>
<tr><td>Total</td><td></td><td></td></tr>
<tr><td>12</td><td>Means of Finance</td><td colspan="3">Means
Source
Amount (Rs.)</td></tr>
<tr><td>13</td><td>Brief description of technology up</td><td colspan="3"></td></tr>
</table>

	gradation carried out	
14	Is there is any increase in employment give the nos.	Supervisory
		workers
		Total
15	Connected load (KW/HP	
16	List of Enclosures	

1. Certified that no claim for incentives for Technology upgradation has been sanctioned or disbursed the project / unit aforesaid and that this is the first application in regard to the project / unit aforesaid.

2. Certified that the information / statement contained in this application are true to the best of my / our knowledge and belief.

3. Declared that no Government enquiry has been instituted against the applicant unit and / or any of its Proprietor / Partner(s)/ Director(s) of this applicant unit for any economic offence.

4. We hereby agree to abide by the terms and conditions of the claim sanction to be issued. In pursuance of the above application for incentives for technology upgradation under para 5.7 of Package Scheme of Incentives - 2007.

5. We hereby agree that the Certificate of Entitlement / claim sanction letter issued on the basis of the above statements made and information furnished either alongwith this application or hereafter in connection with the above matter is liable to be canceled ab-initio or rendered invalid or withdrawn if any of the statements and / or information is / are found to incorrect / untrue. All the momentary benefits already availed of on the basis of the Claim Sanction so cancelled shall be recoverable as Government dues forthwith alongwith compound interest at @ 22 % per annum or at such other higher rate as may be fixed by the Implementing Agency from time to time and no further benefits will be available to the project unit for which the above application is made.

Signature of the applicant
Proprietor / Partner / Director / Trustee

Place :

Date :-

(This application shall be signed only by any one of the persons indicated above with appropriate rubber stamps of the applicant and designation of the signatory)

FORM - II

C.A. Certificate for Expenditure Incurred On Technology Upgradation

This is to certify that M/s. --

(Name of the unit)

Unit located at --

(Factory address)

Period covered -------------------------------- to --------------------------------

Has carried out technology upgradation the details of expenditure incurred upto date / / is as under.

Sr.No.	Description of Machinery / Equipment	Name of Supply	Bill No.Date	Amount Rs	Date of supply	Date of installation	Old or New
1.							
2.							
3.							
4.							

Certificate :

The abovementioned machinery / equipments are new and have been acquired and installed at site of the aforesaid applicant unit.

We have checked the books of account of the company the invoices etc. and certify that the aforesaid information is verified and found to be true. We also certify that all the aforesaid items have been duly paid for and no credit is raised there against in the books of the company except as stated here in above.

Date :

Seal & Signature of

C.A.

Place :

FORM- III

Eligibility Certificate for Technology Up gradation Under Package Scheme of Incentives - 2007

Read Para 5.7 & GR Dt.PSI-1707/CR-50 /IND-8, dt.30/03/2007

Ref No. DIC/PSI-2007/TU/EC Date of issue --------------------

1.	Name of the Eligible Unit		
2.	Factory address of the unit		
3.	EM Part II No /I.L.No. & Date		
4.	Date of Commencement of commercial production	DD/MM/YYYY	
5.	Products manufactured	1) 2) 3)	
6.	Capital Cost of the Project	Land	
		Building	
		Plant & M/c.	
		Other Assets	
		Total	
7.	Capital Investment made for technology up gradation	Plant & M/c	
		Erection & in installation charges	
		Others (Plz. Specify)	
		Total	
8.	Eligible Capital Investment for technology up gradation	Rs.	
9.	Capital Subsidy sanctioned @ 5 % of 8 above limited to Rs.25 Lacs	Rs.	

Terms and Conditions

1. This Eligibility Certificate is granted on the basis of the information / details furnished by M/s. --
Under the application for grant of eligibility certificate under the Package Scheme of Incentives – 2007 notified vide Government Resolution, Industries, Energy and Labour PSI – 1707/(CR-50)IND-8 dated 30th March, 2007 (hereinafter referred to as " PSI-2007 ") for making investment towards their project at--
(hereinafter referred to as on ' Eligible Unit ') Subject to :

a) The investment should be incurred within a period shown in the EC (Form I) The holder of this certificate shall communicate to the Directorate of Industries, value of fixed assets acquired up to the aforesaid date within on month from such date.
b) Correctness of the representations made there under and from time to time thereafter, the Gross Value of admissible Fixed Capital Investment made as indicated there under count to be the order of and for manufacture of as per details shown in the EC (Form I).

2. The holder of this Eligibility Certificate will be entitled to draw the incentives as per the provisions of the 2007 Scheme and procedure there under having regard to the Gross Value of Fixed Capital Investment made, term loans for the project drawn and the overall progress of the implementation of the project to the satisfaction of Directorate of Industries (hereinafter referred as D.I./Government of Maharashtra)

3. The holder of the Eligibility Certificate shall :-
Furnish to D.I. full details / information / supporting documentary evidence / certificate from Chartered Accountants and Architect's regarding the Gross Value of Fixed Capital Investment made sources of funds / term loan drawn, other finance availed of from time to time.

4. The Eligibility Certificate will be subject to the following conditions :-

(i) DI shall have a right to carry out a full inspection of the Eligible Unit including that of its properties, assets, accounts, records, registers, documents, etc. wherever situate, at such intervals as it may deem fit, Such inspection by DI's authorized representatives of the Books of Accounts, records, registers, documents, property and assets of the Eligible Unit shall be without any restrictions and the cost of the same shall be home and paid for by the Eligible Unit.

(ii) The holder of the Eligibility Certificate shall ensure that in the matter of employment of personnel for the Eligible Unit for which this Eligibility Certificate is issued, Scheduled Castes / Scheduled Tribes and local candidates are recruited in conformity with the guidelines as may be issued by the Government of Maharashtra from time to time, and the holder of the Eligibility Certificate, shall further ensure that recruitment to posts carrying as salary of less than Rs. 500/- per month (on any such salary amount as may be decided by the Government of Maharashtra from time to time) is made only through the concerned Employment Exchange, or any such body as may be decided by the Government from time to time.

(iii) The holder of the Eligibility Certificate shall furnish to Directorate of Industries such periodical statement / such other information / follow up statements in the form and manner required by Directorate of Industries. If any of the returns / periodical statements, follow up statements, etc. referred to in the sub-clause (ii) above are not submitted, it shall be open to Directorate of Industries to presume that the Eligible Unit is closed and accordingly the clause of action as indicated in condition No. (v) will be deemed to have arisen.

(iv) The holder of the Eligibility Certificate shall comply with all the terms, conditions and provisions of the 2007 Scheme and all stipulations as may be made from time to time as also the procedure prescribed there under and in force from time to time.

(v) During the operative period of Agreement(s) for incentives under the 2007 Scheme entered from time to time, the industrial unit, for which this Eligibility Certificate is issued, shall not without prior approval of approval of DI, contemplate an event :

1. Sell or otherwise dispose off / lease / hire / shift / remove / transfer / alienate in any manner, with or without consideration, any of the fixed assets of the Eligibility unit.
2. Write off / keep the fixed assets in disuse without writing them off ;
3. Close the unit of shift the unit in part or in its entirely from its existing location to any other location;
4. Change or alter the constitution / management / constituents comprising the ownership of the Eligible Unit.
5. where the Eligible Unit is owned by a limited Company / Co-operative Society / Trust, the Limited Company, Co-operative Society, Trust as the case may be, shall not merge or amalgamate with any other Limited Company/ Co-operative Society / Trust or permit or cause any other Limited Company / Co-operative Society / Trust to be merged with the existing Company / Co-operative Society /Trust.

(vi) If during the operative period of the Agreement (s) entered into by the holder of this Eligibility Certificate, the industrial unit for which this Eligibility Certificate is issued

a) is closed or continues to remain below normal production during the year or
b) contravenes :
1. any of the conditions or this certificate ;

OR

2. any of the conditions of the 2007 Scheme underlying Government Resolution mentioned above or the procedure framed thereunder;

OR

3. instructions or directions issued under the 2007 Scheme or production there under from time to time by the Government or D.I.;

OR

4. The terms and conditions of the various agreements executed / to be executed under the 2007 Scheme and the procedure framed thereunder the

amount of incentives availed of together with interest thereon from the date when it would have been payable but for the exemption at 15 % p.a. or any such rates as may be decided by the Government or D.I. from time to time and costs, charges and expenses for recovering the same and other charge (if any), outstanding or the date of occurrence of such event, shall be immediately payable. If these are not paid on demand, the Government shall to recover the same as arrears of land revenue.

(vii) In case or breach of any of the conditions of this Eligibility Certificate or in case the Eligibility Certificate is found to have been issued on the basis of incorrect information furnished or untrue statement made either in the application for Eligibility Certificate or any subsequent proceedings of any nature what so ever or if any misuse of this Eligibility Certificate towards evasion / or aiding or abetting at the evasion of benefit by way of incentives not legally claimable under the provisions of the 2007 Scheme is found / detected, then this Eligibility Certificate shall stand revoked ab-initio. All the pecuniary benefits as may have been granted shall be withheld and liable to be cancelled and those availed of shall be repayable forthwith and liable to be recovered as arrears of land revenue together with interest at the rate of 15 % p.a. or such rate as may be decided by the Government or Directorate of Industries from the date of disbursement / availment till full realization or the amount and expenses for the recovery of the same.

Place :
Date :

Signature & Designation
of Issuing Authority

Annexure- II

Package Scheme of Incentives, 2007
Sanction and Disbursement of Incentives – 50% Subsidy for the expenses incurred for Quality Certifications
(Read para of GR PSI-1707/CR-50/IND-8, dt.30/03/2007)

The State Government has declared the Industrial, Investment, Infrastructure Policy 2006 to ensure sustained industrial growth, further improve the conducive industrial climate in the State, provide a global competitive edge to the State's industry, and promote employment and balanced regional development. As envisaged in the Policy, the Government of Maharashtra subsequently announced the Package Scheme of Incentives (PSI) 2007 vide Government Resolution No. PSI-1707/(CR-50)/Ind-8, dated 30th March 2007. The Government Resolution outlines the eligibility criteria, quantum of incentives and monitoring mechanism for administering the Scheme.

The concept of incentives for strengthening of Micro, Small and Medium Manufacturing Enterprises has been incorporated in the PSI-2007 and the quantum of incentives outlined there in (para 5.7 of G.R. dated 30th March 2007).

The procedure for sanction and disbursement of Quality Standard Certificates incentives for strengthening of Micro, Small and Medium Manufacturing Enterprises, is proposed as under;

1. Eligible units:

Unit holding Eligibility Certificate under PSI-2007 and which has incurred expenditure for acquiring Various Quality Standards Certificates or product specific quality marks during the period 1.4.2007 to 31.3.2011 shall be considered eligible for subsidy.

2. Eligible quality Certificates & product specific Quality marks and quantum of assistance:

2.1 An eligible unit which has incurred expenditure for acquiring following quality certificates will be eligible such as ***BIS, FPO (fruit production order) certifications & other product specific quality marks such as, WHO, AGMARK, CE (conformity Europeenne), CCC (China Compulsory certificates)*** will be entitled to avail financial assistance towards expenditure incurred.

2.2 Expenditure on following items shall be considered.

- Payment made to certification agency (registration fees & other charge but excluding travel, Hotel & surveillance charges.)
- Cost of acquiring new testing equipment as suggested by certification agency required for the concerned certificates.
- Expenditures towards calibration charges of testing equipment and, training cost of personnel training conducted by certificating agency for acquiring quality certificates.

2.3 The total quantum of assistance will be 50% of the eligible expenditure subject to maximum limit of Rs. 1.00 lakh.

2.4 The expenditure for **renewal** of any quality mark will not qualify for assistance under the scheme.

3. GENERAL PROVISIONS:

3.1 Application for grant of Incentive for Quality certification. -

(1) Valid application for incentives under **Quality certification** shall be filed with the concerned implementing agency by an Eligible Unit only after it has obtained Eligibility Certificate

(2) Valid application shall comprise of the following,

(i) The application in format as given in Form I

(ii) Attested Copies of valid Quality certificates or quality marks

(iii) Certificate from Chartered Accountant in Form II.

The Implementing Agency may, as per need, call for any other information and/or documents as may be deemed necessary.

(3) Valid application shall be filed with the Implementing Agency after issue of Eligibility Certificate, and within 6 months from expiry of the period of Eligibility Certificate as defined under PSI 2007. Failure to file valid application within the prescribed period shall render the application invalid.

3.2 Sanctioning and disbursement agency –

1) The implementing agency shall be the sanctioning and disbursement agency.

2) Upon receipt of valid application, the Implementing Agency shall ordinarily dispose off the application within 30 days. (The format for the sanction of incentive shall be as per format at Form III)

4. PROCEDURE FOR DISBURSEMENT OF SUBSIDY:

The cases approved / sanctioned by the Implementing agency will be disbursed as per the availability of funds. The disbursement of sanctioned amount shall be made chronologically as per funds made available by the Government. The disbursement will be done through electronic transfer of funds.

5. MONITORING AND REVIEW:

Monitoring of the production activities of the eligible unit will be done as per the provisions of para 6.1 and 6.2 of the Government Resolution no. PSI-1707/(CR-50)/ IND - 8 dated 30 March 2007 of Package Scheme of Incentives 2007.

FORM – I

Application form for Incentives under Para 5.7 – Quality Certification under Package Scheme of Incentives - 2007

1	Application Number (For Office Use)		
2	Date of Receipt (For Office Use)		
3	Name of the Applicant Unit		
4	Factory address of the unit		
5	EM Part II No & Date		
6	EC NO (PSI-2007) & Date		
7	Date of Commencement of commercial production	DD/MM/YYYY	
8	Products manufactured	1) 2) 3)	
9	Constitution a. Authority of Certification : b. Letter /Ack. No. of Incorporation : c. Date of Establishment :		
10	Name & Address of Prop./Partners/Directors a. Name : b. Residential Address – 1} : Address – 2} : Address – 3} : c. Telephone No.& E-mail :		
11	Expenditure incurred on Quality certificates (Rs. in Lacs)	Details of expenditure	Amount in Rs. lakh
		Registration Fees paid to certifying Agency	
		Cost of acquiring new Testing Equipments	
		Calibration Charges	

		Expenditure on Training	
		Total	
12	Means of Finance	Means Source Amount (Rs.)	
13	Details of Certificates Obtained A. Certificate type B. Date of Issue & validity C. Certifying Agency with Address		
14	Connected load (KW/HP		
16	List of Enclosures		

1. Certified that no claim for incentives for **Quality Certificates** has been sanctioned or disbursed the project / unit aforesaid and that this is the first application in regard to the project / unit aforesaid.

2. Certified that the information / statement contained in this application are true to the best of my / our knowledge and belief.

3. Declared that no Government enquiry has been instituted against the applicant unit and / or any of its Proprietor / Partner(s)/ Director(s) of this applicant unit for any economic offence.

4. We hereby agree to abide by the terms and conditions of the claim sanction to be issued. In pursuance of the above application for incentives for Quality Certificates under para 5.7 of Package Scheme of Incentives - 2007.

5. We hereby agree that the Certificate of Entitlement / claim sanction letter issued on the basis of the above statements made and information furnished either alongwith this application or hereafter in connection with the above matter is liable to be canceled ab-initio or rendered invalid or withdrawn if any of the statements and / or information is / are found to incorrect / untrue. All the momentary benefits already availed of on the basis of the Claim Sanction so cancelled shall be recoverable as Government dues forthwith alongwith compound interest at @ 22 % per annum or at such other higher rate as may be fixed by the Implementing Agency from time to time and no further benefits will be available to the project unit for which the above application is made.

Signature of the applicant
Proprietor / Partner / Director / Trustee

Place :

Date :-

(This application shall be signed only by any one of the persons indicated above with appropriate rubber stamps of the applicant and designation of the signatory)

FORM - II

C.A. Certificate for Expenditure Incurred On Quality Certificates

This is to certify that M/s. --
(Name of the unit)

Unit located at --
(Factory address)

has obtained 1. --

2. --
(Name of quality certification)

Quality certification and the details of Expenditure incurred for obtaining the same is an under.

Sr.No.	Particulars of Expenditure incurred	Name & Agency with address to whom payment is made	Details of Payment made DD NO./Cheque	Date of Payment
1.	Registration fees paid to the certifying agency			
2.	Cost of acquiring new testing equipment as suggested by implementing agency.			
3.	Expenditure on calibration of testing equipments.			
4.	Expenditure. Incurred on training of personnel by certifying agency			
	Total			

Certificate:

We have checked the books of account of the company the invoices etc. and certify that the aforesaid information is verified and found to be true. We also certify that all the aforesaid items have been duly paid for and no credit is raised there against in the books of the company exept as stated here in above.

Date :

Seal & Signature of C.A.

Place :

FORM - III

Eligibility Certificate for Quality Certificates Under Package Scheme of Incentives - 2007

Read Para 5.7 & GR Dt.PSI-1707/CR-50/IND-8, dt.30/03/2007

Ref No. DIC/PSI-2007/TU/EC Date of issue --------------------

1.	Name of the Eligible Unit		
2.	Factory address of the unit		
3.	EM Part II No /I.L.No. & Date		
4.	Date of Commencement of commercial production	DD/MM/YYYY	
5.	Products manufactured	1) 2) 3)	
6.	Capital Cost of the Project	Land	
		Building	
		Plant & M/c.	
		Other Assets	
		Total	
7.	Expenditure incurred for Quality certificates	Registration Fees paid to certifying Agency	
		Cost of acquiring new Testing Equipments	
		Calibration Charges	
		Total	
8.	Eligible expenditure for Quality certificates	Rs.	
9.	Capital Subsidy sanctioned @ 50 % of 8 above limited to Rs.1.00 Lacs	Rs.	

Terms and Conditions

1. This Eligibility Certificate is granted on the basis of the information / details furnished by M/s. -- Under the application for grant of eligibility certificate under the Package Scheme of Incentives – 2007 notified vide Government Resolution, Industries, Energy and Labour PSI – 1707/(CR-50)IND-8 dated 30th March, 2007 (hereinafter referred to as " PSI-2007 ") for making investment towards their project at-------------------- -- (hereinafter referred to as on ' Eligible Unit ') Subject to :

   a) The investment should be incurred within a period shown in the EC (Form I) The holder of this certificate shall communicate to the Directorate of Industries, value of fixed assets acquired up to the aforesaid date within on month from such date.
   b) Correctness of the representations made there under and from time to time thereafter, the Gross Value of admissible Fixed Capital Investment made as indicated there under count to be the order of and for manufacture of as per details shown in the EC (Form I).

2. The holder of this Eligibility Certificate will be entitled to draw the incentives as per the provisions of the 2007 Scheme and procedure there under having regard to the Gross Value of Fixed Capital Investment made, term loans for the project drawn and the overall progress of the implementation of the project to the satisfaction of Directorate of Industries (hereinafter referred as D.I./Government of Maharashtra)

3. The holder of the Eligibility Certificate shall :-
   Furnish to D.I. full details / information / supporting documentary evidence / certificate from Chartered Accountants and Architect's regarding the Gross Value of Fixed Capital Investment made sources of funds / term loan drawn, other finance availed of from time to time.

4. The Eligibility Certificate will be subject to the following conditions :-

(i) DI shall have a right to carry out a full inspection of the Eligible Unit including that of its properties, assets, accounts, records, registers, documents, etc. wherever situate, at such intervals as it may deem fit, Such inspection by DI's authorized representatives of the Books of Accounts, records, registers, documents, property and assets of the Eligible Unit shall be without any restrictions and the cost of the same shall be home and paid for by the Eligible Unit.

(ii) The holder of the Eligibility Certificate shall ensure that in the matter of employment of personnel for the Eligible Unit for which this Eligibility Certificate is issued, Scheduled Castes / Scheduled Tribes and local candidates are recruited in conformity with the guidelines as may be issued by the Government of Maharashtra from time to time, and the holder of the Eligibility Certificate, shall further ensure that recruitment to posts carrying as salary of less than Rs. 500/- per month (on any such salary amount as may be decided by the Government of Maharashtra from time to time) is made only through the concerned Employment Exchange, or any such body as may be decided by the Government from time to time.

(iii) The holder of the Eligibility Certificate shall furnish to Directorate of Industries such periodical statement / such other information / follow up statements in the form and manner required by Directorate of Industries. If any of the returns / periodical statements, follow up statements, etc. referred to in the sub-clause (ii) above are not submitted, it shall be open to Directorate of Industries to presume that the Eligible Unit is closed and accordingly the clause of action as indicated in condition No. (v) will be deemed to have arisen.

(iv) The holder of the Eligibility Certificate shall comply with all the terms, conditions and provisions of the 2007 Scheme and all stipulations as may be made from time to time as also the procedure prescribed there under and in force from time to time.

(v) During the operative period of Agreement(s) for incentives under the 2007 Scheme entered from time to time, the industrial unit, for which this Eligibility Certificate is issued, shall not without prior approval of approval of DI, contemplate an event :

1. Sell or otherwise dispose off / lease / hire / shift / remove / transfer / alienate in any manner, with or without consideration, any of the fixed assets of the Eligibility unit.
2. Write off / keep the fixed assets in disuse without writing them off ;
3. Close the unit of shift the unit in part or in its entirely from its existing location to any other location;
4. Change or alter the constitution / management / constituents comprising the ownership of the Eligible Unit.
5. where the Eligible Unit is owned by a limited Company / Co-operative Society / Trust, the Limited Company, Co-operative Society, Trust as the case may be, shall not merge or amalgamate with any other Limited Company/ Co-operative Society / Trust or permit or cause any other Limited Company / Co-operative Society / Trust to be merged with the existing Company / Co-operative Society /Trust.

(vi) If during the operative period of the Agreement (s) entered into by the holder of this Eligibility Certificate, the industrial unit for which this Eligibility Certificate is issued

a) is closed or continues to remain below normal production during the year or
b) contravenes :

1. any of the conditions or this certificate ;

OR

2. any of the conditions of the 2007 Scheme underlying Government Resolution mentioned above or the procedure framed thereunder;

OR

3. instructions or directions issued under the 2007 Scheme or production there under from time to time by the Government or D.I.;

OR

4. The terms and conditions of the various agreements executed / to be executed under the 2007 Scheme and the procedure framed thereunder the amount of incentives availed of together with interest thereon from the date when it would have been payable but for the exemption at 15 % p.a. or any such rates as may be decided by the Government or D.I. from time to time and costs, charges and expenses for recovering the same and other charge (if any), outstanding or the date of occurrence of such event, shall be immediately payable. If these are not paid on demand, the Government shall to recover the same as arrears of land revenue.

(vii) In case or breach of any of the conditions of this Eligibility Certificate or in case the Eligibility Certificate is found to have been issued on the basis of incorrect information furnished or untrue statement made either in the application for Eligibility Certificate or any subsequent proceedings of any nature what so ever or if any misuse of this Eligibility Certificate towards evasion / or aiding or abetting at the evasion of benefit by way of incentives not legally claimable under the provisions of the 2007 Scheme is found / detected, then this Eligibility Certificate shall stand revoked ab-initio. All the pecuniary benefits as may have been granted shall be withheld and liable to be cancelled and those availed of shall be repayable forthwith and liable to be recovered as arrears of land revenue together with interest at the rate of 15 % p.a. or such rate as may be decided by the Government or Directorate of Industries from the date of disbursement / availment till full realization or the amount and expenses for the recovery of the same.

Place :
Date :

Signature & Designation
of Issuing Authority

ANNEXURE – III

Package Scheme of Incentives, 2007

Sanction and Disbursement of Incentives –50%Subsidy on the expenses incurred for Patent Registration

(Read Para 5.7 of GR PSI-1707/CR-50/IND-8, dt.30.3.2007)

The State Government has declared the Industrial, Investment, Infrastructure Policy 2006 to ensure sustained industrial growth, further improve the conducive industrial climate in the State, provide a global competitive edge to the State's industry, and promote employment and balanced regional development. As envisaged in the Policy, the Government of Maharashtra subsequently announced the Package Scheme of Incentives (PSI) 2007 vide Government Resolution No. PSI-1707/(CR-50)/Ind-8, dated 30th March 2007. The Government Resolution outlines the eligibility criteria, quantum of incentives and monitoring mechanism for administering the Scheme.

The concept of incentives for strengthening of Micro, Small and Medium Manufacturing Enterprises has been incorporated in the PSI-2007 and the quantum of incentives outlined there in (para 5.7 of G.R. dated 30th March 2007).

Patent Registration:

An individual or an organization can make an invention. The invention means a new products or process involving an inventive step and capable of industrial application. New invention means any invention or technology which has not been anticipated by publication in any documents or used in the country or elsewhere in the world before the date of filing of patent application with complete specification. Patent registration is meant for the protection of rights of the inventor over his invention. Patent registration involves along process of 4 to 6 years. This process involves various stages namely viz. Provisional specification filing, Priority Art Search, Complete Specification Filing, Request for Examination, Pre Grant Opposition and Registration.

Government of Maharashtra has decided to encourage the patent registration as a avowed in the Industrial Policy 2006 and incentive of 50 % of expenditure of subsidy on the expenses incurred for Patent Registration limited to 5 lacs.

The procedure for sanction and disbursement of incentives for strengthening of Micro, Small and Medium Manufacturing Enterprises, is proposed as under;

1. Eligible units:

Unit holding Eligibility Certificate under PSI-2007 and has incurred expenditure for acquiring patent registrations during the period 1.4.2007 to 31.3.2011 shall be considered eligible for subsidy.

2. Eligible expenditure for patent registration and quantum of assistance:

2.1 Expenditure on following items shall be considered.

- Application fee & Patent office fees (India/ Abroad)
- Priority art search fee.
- Examination fee.
- Annual fee for First Year.
- Drafting Charges of attorney.
- Consultancy Charges (excluding travel, Hotel & surveillance charges.) .

2.2 The total quantum of assistance will be 50% of the eligible expenditure subject to maximum limit of Rs. 5.00 lakh.

3. GENERAL PROVISIONS:

3.1 Application for grant of Incentive for Patent Registration. -

(1) Valid application for incentives under **patent registration** shall be filed with the concerned implementing agency by an Eligible Unit only after it has obtained Eligibility Certificate & taken steps involved I patent registration process.

(2) Valid application shall comprise of the following,

(i) The application in format as given in Form I

(ii) Abstract of patent registrated & registration Copy

(iii) Certificate from Chartered Accountant in Form II.

The Implementing Agency may, as per need, call for any other information and/or documents as may be deemed necessary.

(3) Valid application shall be filed with the Implementing Agency after issue of Eligibility Certificate, and within 6 months from expiry of the period of Eligibility Certificate as defined under PSI 2007. Failure to file valid application within the prescribed period shall render the application invalid.

3.2 Sanctioning and disbursement agency -

(1) The implementing agency shall be the sanctioning and disbursement agency.

(2) Upon receipt of valid application, the Implementing Agency shall ordinarily dispose off the application within 30 days. (The format for the sanction of incentive shall be as per format at Form III.)

4. PROCEDURE FOR DISBURSEMENT OF SUBSIDY:

The cases approved / sanctioned by the Implementing agency will be disbursed as per the availability of funds. The disbursement of sanctioned amount shall be made chronologically as per funds made available by the Government. The disbursement will be done through electronic transfer of funds.

5. MONITORING AND REVIEW:

Monitoring of the production activities of the eligible unit will be done as per the provisions of para 6.1 and 6.2 of the Government Resolution no. PSI-1707/(CR-50)/ IND - 8 dated 30 March 2007 of Package Scheme of Incentives 2007.

FORM – I

Application form for Incentives under Para 5.7 – Patent Registration under Package Scheme of Incentives - 2007

1	Application Number (For Office Use)		
2	Date of Receipt (For Office Use)		
3	Name of the Applicant Unit		
4	Factory address of the unit		
5	EM Part II No & Date		
6	EC NO (PSI-2007) & Date		
7	Date of Commencement of commercial production	DD/MM/YYYY	
8	Products manufactured	1) 2) 3)	
9	Constitution a. Authority of Certification : b. Letter /Ack. No. of Incorporation : c. Date of Establishment :		
10	Name & Address of Prop./Partners/Directors a. Name : b. Residential Address – 1} : Address – 2} : Address – 3} : c. Telephone No.& E-mail :		
11	Expenditure incurred for patent Registration (Rs. in Lacs)	Details	Amount
		Patent office fees, application fee, (India/ Abroad)	
		Priority Art search fee	

		Request for Examination fee	
		Annual fee	
		Attornney drafting Charges	
		Consultancy fees	
		Total	
12	Means of Finance	Means Source Amount (Rs.)	
13	A. Abstract of patent B. Patent registration acknowledgment.		
14	Connected load (KW/HP)		
16	List of Enclosures		

1. Certified that no claim for incentives for patent registration has been sanctioned or disbursed the project / unit aforesaid and that this is the first application in regard to the project / unit aforesaid.

2. Certified that the information / statement contained in this application are true to the best of my / our knowledge and belief.

3. Declared that no Government enquiry has been instituted against the applicant unit and / or any of its Proprietor / Partner(s)/ Director(s) of this applicant unit for any economic offence.

4. We hereby agree to abide by the terms and conditions of the claim sanction to be issued. In pursuance of the above application for incentives for Patent Registration under para 5.7 of Package Scheme of Incentives - 2007.

5. We hereby agree that the Certificate of Entitlement / claim sanction letter issued on the basis of the above statements made and information furnished either alongwith this application or hereafter in connection with the above matter is liable to be canceled ab-initio or rendered invalid or withdrawn if any of the statements and / or information is / are found to incorrect / untrue. All the momentary benefits already availed of on the basis of the Claim Sanction so cancelled shall be recoverable as Government dues forthwith alongwith compound interest at @ 22 % per annum or at such other higher rate as may be fixed by the Implementing Agency from time to time and no further benefits will be available to the project unit for which the above application is made.

Signature of the applicant
Proprietor / Partner / Director / Trustee

Place :
Date :-
(This application shall be signed only by any one of the persons indicated above with appropriate rubber stamps of the applicant and designation of the signatory)

FORM - II

C.A. Certificate for Expenditure Incurred On Patent Registration.

This is to certify that M/s. --
(Name of the unit)

Unit located at --
(Factory address)

has obtained 1. --

2. --
(Name of patent)

Patent Registration and the details of Expenditure incurred for boating the same is an under.

Sr.No.	Particulars of Expenditure incurred	Name & Agency with address to whom payment is made	Details of Payment made DD NO./Cheque	Date of Payment
1.	Patent office fee.			
2.	Application fee (India abroad)			
3.	Prior Art Search Fee			
4.	Request for Examination fee.			
5	Annual Fee			
6	Attorney Drafting Charges			
7	Consultancy Charges			
	Total			

Certificate:

We have checked the books of account of the company the invoices etc. and certify that the aforesaid information is verified and found to be true. We also certify that all the aforesaid items have been duly paid for and no credit is raised there against in the books of the company except as stated here in above.

Date :

Seal & Signature of C.A.

Place :

FORM - III

Eligibility Certificate for Patent Registration under Package Scheme of Incentives - 2007

Read Para 5.7 & GR Dt.PSI-1707/CR-50/IND-8, dt.30/03/2007

Ref No. DIC/PSI-2007/TU/EC Date of issue --------------------

1.	Name of the Eligible Unit		
2.	Factory address of the unit		
3.	EM Part II No /I.L.No. & Date		
4.	Date of Commencement of commercial production	DD/MM/YYYY	
5.	Products manufactured	1) 2) 3)	
6.	Capital Cost of the Project	Land	
		Building	
		Plant & M/c.	
		Other Assets	
		Total	
7.	Expenditure incurred for Patent Registration certificates	Patent office fee	
		Application fee	
		Prior Art Search Fee	
		Annual Fee	
		Attorney Drafting Charges	
		Consultancy Charges	
		Total	
8.	Eligible expenditure for Patent Registration	Rs.	
9.	Capital Subsidy sanctioned @ 50 % of 8 above limited to Rs.5.00 Lacs	Rs.	

Terms and Conditions

1. This Eligibility Certificate is granted on the basis of the information / details furnished by M/s. --
Under the application for grant of eligibility certificate under the Package Scheme of Incentives – 2007 notified vide Government Resolution, Industries, Energy and Labour PSI – 1707/(CR-50)IND-8 dated 30th March, 2007 (hereinafter referred to as " PSI-2007 ") for making investment towards their project at--
(hereinafter referred to as on ' Eligible Unit ') Subject to :

a) The investment should be incurred within a period shown in the EC (Form I) The holder of this certificate shall communicate to the Directorate of Industries, value of fixed assets acquired up to the aforesaid date within on month from such date.
b) Correctness of the representations made there under and from time to time thereafter, the Gross Value of admissible Fixed Capital Investment made as indicated there under count to be the order of and for manufacture of as per details shown in the EC (Form I).

2. The holder of this Eligibility Certificate will be entitled to draw the incentives as per the provisions of the 2007 Scheme and procedure there under having regard to the Gross Value of Fixed Capital Investment made, term loans for the project drawn and the overall progress of the implementation of the project to the satisfaction of Directorate of Industries (hereinafter referred as D.I./Government of Maharashtra)

3. The holder of the Eligibility Certificate shall :-
Furnish to D.I. full details / information / supporting documentary evidence / certificate from Chartered Accountants and Architect's regarding the Gross Value of Fixed Capital Investment made sources of funds / term loan drawn, other finance availed of from time to time.

4. The Eligibility Certificate will be subject to the following conditions :-

(i) DI shall have a right to carry out a full inspection of the Eligible Unit including that of its properties, assets, accounts, records, registers, documents, etc. wherever situate, at such intervals as it may deem fit, Such inspection by DI's authorized representatives of the Books of Accounts, records, registers, documents, property and assets of the Eligible Unit shall be without any restrictions and the cost of the same shall be home and paid for by the Eligible Unit.

(ii) The holder of the Eligibility Certificate shall ensure that in the matter of employment of personnel for the Eligible Unit for which this Eligibility Certificate is issued, Scheduled Castes / Scheduled Tribes and local candidates are recruited in conformity with the guidelines as may be issued by the Government of Maharashtra from time to time, and the holder of the Eligibility Certificate, shall further ensure that recruitment to posts carrying as salary of less than Rs. 500/- per month (on any such salary amount as may be decided by the Government of Maharashtra from time to time) is made only through the

concerned Employment Exchange, or any such body as may be decided by the Government from time to time.

(iii) The holder of the Eligibility Certificate shall furnish to Directorate of Industries such periodical statement / such other information / follow up statements in the form and manner required by Directorate of Industries. If any of the returns / periodical statements, follow up statements, etc. referred to in the sub-clause (ii) above are not submitted, it shall be open to Directorate of Industries to presume that the Eligible Unit is closed and accordingly the clause of action as indicated in condition No. (v) will be deemed to have arisen.

(iv) The holder of the Eligibility Certificate shall comply with all the terms, conditions and provisions of the 2007 Scheme and all stipulations as may be made from time to time as also the procedure prescribed there under and in force from time to time.

(v) During the operative period of Agreement(s) for incentives under the 2007 Scheme entered from time to time, the industrial unit, for which this Eligibility Certificate is issued, shall not without prior approval of approval of DI, contemplate an event :

1. Sell or otherwise dispose off / lease / hire / shift / remove / transfer / alienate in any manner, with or without consideration, any of the fixed assets of the Eligibility unit.
2. Write off / keep the fixed assets in disuse without writing them off ;
3. Close the unit of shift the unit in part or in its entirely from its existing location to any other location;
4. Change or alter the constitution / management / constituents comprising the ownership of the Eligible Unit.
5. where the Eligible Unit is owned by a limited Company / Co-operative Society / Trust, the Limited Company, Co-operative Society, Trust as the case may be, shall not merge or amalgamate with any other Limited Company/ Co-operative Society / Trust or permit or cause any other Limited Company / Co-operative Society / Trust to be merged with the existing Company / Co-operative Society /Trust.

(vi) If during the operative period of the Agreement (s) entered into by the holder of this Eligibility Certificate, the industrial unit for which this Eligibility Certificate is issued

a) is closed or continues to remain below normal production during the year or
b) contravenes :
1. any of the conditions or this certificate ;

OR

2. any of the conditions of the 2007 Scheme underlying Government Resolution mentioned above or the procedure framed thereunder;

OR

3. instructions or directions issued under the 2007 Scheme or production there under from time to time by the Government or D.I.;

OR

4. The terms and conditions of the various agreements executed / to be executed under the 2007 Scheme and the procedure framed thereunder the amount of incentives availed of together with interest thereon from the date when it would have been payable but for the exemption at 15 % p.a. or any such rates as may be decided by the Government or D.I. from time to time and costs, charges and expenses for recovering the same and other charge (if any), outstanding or the date of occurrence of such event, shall be immediately payable. If these are not paid on demand, the Government shall to recover the same as arrears of land revenue.

(vii) In case or breach of any of the conditions of this Eligibility Certificate or in case the Eligibility Certificate is found to have been issued on the basis of incorrect information furnished or untrue statement made either in the application for Eligibility Certificate or any subsequent proceedings of any nature what so ever or if any misuse of this Eligibility Certificate towards evasion / or aiding or abetting at the evasion of benefit by way of incentives not legally claimable under the provisions of the 2007 Scheme is found / detected, then this Eligibility Certificate shall stand revoked ab-initio. All the pecuniary benefits as may have been granted shall be withheld and liable to be cancelled and those availed of shall be repayable forthwith and liable to be recovered as arrears of land revenue together with interest at the rate of 15 % p.a. or such rate as may be decided by the Government or Directorate of Industries from the date of disbursement / availment till full realization or the amount and expenses for the recovery of the same.

Place :
Date :

Signature &
Designation of Issuing
Authority